Project Management Plan – Navigational Improvements – False Pass, Alaska

1.0 Work Scope

1.1 Introduction

Purpose. This is the Project Management Plan (PMP) for the Navigation Improvements, False Pass, Alaska project. This PMP provides management information for the design and construction of the recommended and approved plan for the project.

Key Partners. The Aleutians East Borough is the project Sponsor. Local citizens have been actively involved in the identification of navigation needs. The recommended plan was developed in close coordination with the Sponsor and users of the existing navigation system. Representatives of the National Marine Fisheries Service (NMFS), and the U.S. Fish & Wildlife Service (USFWS) met with the Corps of Engineers to discuss impacts. The environmental impacts are not expected to be significant, and are discussed in the Environmental Assessment (EA).

Project Features. The Feasibility Report, submitted in December 2000, recommends Navigation Improvements to be made at False Pass, Alaska and that specifically, Alternative 1-E the locally preferred plan as described in the Feasibility Report be constructed. Alternative 1-E consists of the of the following components:

Breakwater. The 388 m-long north rubblemound breakwater will protect the basin from waves from the northeast and east. Maximum depths of water are -7.5 m MLLW. The 180 m-long south rubblemound breakwater would form the southern limit of the entrance channel. The south breakwater would be in maximum depths of approximately -10 m MLLW. Foundation materials are sand and gravel, which would serve as a suitable base for the rubblemound structures. The breakwaters will have a crest elevation of 4.0 m MLLW and a crest width of 2.4 m.

Navigation Channel and Basin. The project would accommodate a fleet of 88 vessels in a 2.1 ha basin protected by two rubblemound breakwaters. The mooring basin can accommodate boats ranging in size from 5.5 m to 30.5 m. The entrance channel is 30 m wide, which is three times the design beam width of the longest boat at 30.5 m. The entrance channel width allows for one-way traffic and will be sufficient for larger vessels equipped with bow thrusters. The entrance channel depth would be -6.1 m MLLW. Basin depths would range from -5.8 m MLLW near the entrance channel to -3.7 m MLLW at the far end of the basin.

Betterments. Major construction items include expansion of the south breakwater to a causeway, sheetpile dock, and bridge. The causeway and dock would allow deep-draft barges (100 m in length) to load and unload goods from upland facilities. A bridge would be required to access the causeway from the upland due to the gap created by the detached breakwater.

A detailed discussion of the recommended plan and the alternatives considered is included in the Navigation Improvements, Feasibility Report and Environmental Assessment, False Pass, Alaska, dated December 2000.

1.2 Description of Work

This PMP describes those elements needed to produce the plans and specifications for the authorized project, and award the construction contract. The Feasibility Report will serve as the basis for design. Design and construction will be in accordance with the project as authorized in Section 101(b)(1) of the Water Resources and Development Act of 2000.

2.0 Work Breakdown Structure

The work breakdown structure (WBS), a product-oriented hierarchy of the scope of work, provides a system for organizing the scope in a logical manner. A WBS is provided for the project. The WBS is in the following format:

TABLE 1. Work Breakdown Structure

Level 1	Final Navigational Improvements Plans and Specifications
Level 2	Major Elements of Design
	Design Agreement
	Plans and Specifications
	Project Cooperation Agreement (PCA)
	Acquisition
Level 3	Elements Subordinate to Level 2 Major Elements
	Components of the Design Agreement
	Develop a detailed scope of work
	Develop a detailed cost estimate
	Develop and negotiate the Design Agreement
	Complete the Design Agreement checklist

TABLE 1. Work Breakdown Structure

	Develop a detailed Project Management Plan
	Obtain legal certification
	Submit the Design Agreement to POD for approval
	Components of Plans and Specifications
	Perform value engineering
	Geotechnical Investigations
	Develop draft Plans and Specifications
	Develop draft Dredge Disposal Design
	Perform technical review of draft designs
	Develop quantity and cost estimate
	Finalize Plans and Specifications
	Perform BCOE review
	Develop bid package
	Components of the PCA
	Complete draft PCA
	Review of draft document
	Obtain construction funding
	Negotiate the PCA
	Legal review of PCA
	Submit PCA for HQ review
	Renegotiate PCA package and Finalize
	Sign PCA
	Components of Acquisition
	Real Estate Acquisition and Certification
	Escrow Agreement
	Construction Contract Advertised
	Construction Contract Awarded/NTP
	Construction

3.0 Schedules

The summary project schedule is shown below. Major milestone dates are as follows. Those shown in bold are mandatory upward reportable.

TABLE 2.

Name	Start	Finish
Design Agreement		
FONSI Signed	9/29/00	9/29/00
Final Feasibility Report Submitted	12/8/00	12/8/00
Division Engineers Notice	10/4/00	10/4/00
Execute Design Agreement	3/23/01	3/23/01
Plans and Specifications		
Value Engineering	5/__/01	5/__/01
Soil Sampling	3/23/01	7/2/01
Develop Draft Plans and Specifications	6/1/01	11/1/01
Develop Draft Dredge Disposal Design	6/1/01	11/1/01
Quantity Takeoffs and Estimates	6/1/01	6/30/01
Technical Review of Draft Designs	11/1/01	11/30/01
Prepare Final Plans and Specifications	12/3/01	1/1/02
Final Quantities and Estimates	1/1/02	1/30/02
BCOE Review/Certification	1/1/02	1/30/02
Complete Plans and Specifications	1/1/02	1/30/02
Project Cooperation Agreement		
Appropriate Construction Funds	10/0l/01	10/01/01
Prepare & Pre-negotiate PCA/Finance Plan	3/1/01	9/3/01
Review PCA/Finance Plan		9/3/01
Conclude PCA Negotiations	9/3/01	9/28/01
HQUSACE Rev.- PCA/Fin. Plan	9/28/01	10/31/01
ASA(CW) Rev.-PCA/Fin. Plan	10/31/01	
Execute PCA	1/1/02	1/30/02
Acquisition		
Real Estate Acquisition/Certification	9/3/01	2/28/02
Prepare/Negotiate Escrow Agreement	1/30/02	2/28/02
Construction Contract Advertised	2/28/02	3/28/02
Construction Contract Award	4/30/02	4/30/02
Construction	6/15/02	10/31/03

4.0 Project Cooperation Plan

The Project Cooperation Plan is represented by the Design Agreement and the Project Cooperation Agreement (PCA) described in this section. It is USACE policy that design activities be cost shared and funded concurrently by non-Federal sponsors. Districts must execute a Design Agreement with a sponsor prior to initiating design work or issuing a solicitation for a contract for design work. District commanders are authorized to approve and execute design Agreements based on the model when the agreement does not deviate from the model and optional language. A 30-day written notification prior to execution of the Design Agreement must be submitted to POD, along with a Design Agreement checklist and certification by Office of Counsel. A sponsor letter of intent must accompany the 30-day written notification indicating capability and willingness to provide funds for design work in a timely manner.

A PCA is required for all new construction starts. The PCA must be executed between the sponsor and the Assistant Secretary of the Army for Civil Works (ASA[CW]) or his designee prior to advertisement of the construction contract for the project. The model PCA for harbor projects (appendix D of ER 1165-2-131) will be used for this project. The draft PCA will be provided to the sponsor during design phase.

The Aleutians East Borough has submitted its plan to finance the non-Federal share of the project, which includes the breakwaters and the inner harbor facilities. The city has the authority and capability to issue revenue bonds, and anticipates that State grant money will be available for part of the construction to pay the local share of the construction costs. Funds required for project construction will be paid to the Government, as agreed upon in the PCA. Since land acquisition and disposal areas are required for the project, the city will receive credit for lands, easements, rights-of-way, relocations, and disposal sites (LERRD).

The Aleutians East Borough and the City of False Pass have been and will continue to be closely involved in the development and construction of the navigation improvements. City representatives will participate actively in the Design Coordination Team activities. Its city engineer (or his designee) will review and comment on the plans and specifications for the construction contract. The City will acquire all the lands needed for project construction.

The General Navigation Features are estimated to cost $10,596,000. The sponsor will contribute 10% of the GNF costs plus 100% of the local costs and LERRDS, $1,059,600 during construction, and reimburse the Corps an additional 10% minus real estate costs after construction.

5.0 Acquisition Plan

The navigation improvements for False Pass will be constructed using one contract. At this point the contract is scheduled to be advertised as a traditional Invitation for Bids. The use of an Request for Proposal solicitation will be considered during preparation of plans and specifications.

The contract will be advertised and awarded within 6 months of signing the PCA. Physical construction of the breakwaters would likely not commence until the summer of 2002 and would take about 18 months to complete.

6.0 Change Management Plan

If a requirement for a project change is identified, the requirement and its cost will be described and routed via email to the project manager for review, approval, and a funding increase, if required.

7.0 Real Estate Plan

Real estate requirements anticipated for the federal project are: (1) permanent easements for breakwater tie-ins and excavation of uplands for entrance and maneuvering channels, (2) temporary easements for construction and staging areas. Temporary easements will be for 2 years. It is anticipated that a non-standard estate will be required for the property interests needed from the USFWS. While it is expected that the necessary property interests from USFWS will be conveyed at no cost, the acquisition process may take up to 6 months and require assistance from the District. No interest is required for lands below mean high water as these areas are subject to the Federal right of navigation servitude. It is not anticipated that relocation assistance benefits IAW Public Law 91-646 will be required for this project. A schedule of 4 to 6 months is estimated to complete acquisition and certification of all real estate required for project construction. The real estate costs for the GNF portion of the project are shown in the table below. Only those lands required for the GNF of the project are eligible for credit as LERRD under Section 101 of Public Law 99-662.

TABLE 3. Real Estate Costs

Item	Federal ($)	Local ($)	Subtotal ($)	Total ($)
Federal project portions (GNF)				
Administration	15,000	25,000	40,000	
Lands	0	170,000	170,000	210,000

8.0 Value Engineering Plan

Section 911 of PL 99-662 requires that a review of the cost-effectiveness of design be conducted for each water resources project with a cost exceeding $10,000,000. The cost of the general navigation features for False Pass are greater than $10,000,000, so a Section 911 certificate will be required. The Corps of Engineers also requires a Value Engineering study for all projects exceeding $2,000,000 in cost. Consequently, a Value Engineering study will be conducted for the engineering design of the project after it has been authorized.

A Value Engineering study analyzes the planned design, and determines if there are alternative designs that will offer the Government greater benefit. Increased benefit can come through a reduction in overall cost, increase in the quality of construction at no additional cost, or a combination of the two. All alternatives must meet all authorized project requirements. Therefore, alternatives for the Navigation Improvements Project must provide adequate wave protection for the fleet in the navigation portion of the

project, as well as being environmentally and socially acceptable. Alternative 1-E as presented in the Feasibility Study Report dated December 2000 is environmentally acceptable and has the support of the local sponsor. Based on these considerations, Alternative 1-E is the preferred and authorized plan for construction.

The study will be conducted at the Alaska District after the Design Agreement is executed and before preparation of plans and specifications is significantly underway. The results of the study will then be able to be incorporated into the plans and specifications and will serve as a check point to update the PMP.

9.0 Project Delivery Team Members

TABLE 4. The PDT constitutes the Design Coordination Team required by the Design Agreement.

Position	Name	Office Symbol/Address	Phone	Fax
Sponsor	Robert Juettner	Aleutians East Borough	443-6600	443-5349
Project Manager	David A. Martinson	CEPOA-PM-C	753-5704	753-2679
Engineering	Elaine L. Pflugh	CEPOA-EN-CW-HH	753-5706	753-2625
Construction	Ronald Flodin	CEPOA-CO-SA-AR	753-5550	384-7440
Contracting	Gail M. West	CEPOA-CT-CO-M	753-2551	753-2544
Realty Specialist	Linda S. Arrington	CEPOA-RE-RS-AQ	753-2849	753-1836
Counsel	Phillip E. Santerre	CEPOA-OC	753-2537	753-2530
Soils and Geology	Charles R. Wilson	CEPOA-EN-ES-SG	753-2687	753-2688
Envir. Resources	Lizette P. Boyer	CEPOA-EN-CW-ER	753-2637	753-2625
Cost Estimator	Al Arruda	CEPOA-EN-ES-CE	753-5679	753-5678
Value Engineer	Kerry E. Walker	CEPOA-EN-TE (VE)	753-5725	753-5701

10.0 Project Management Agreement

Statement of Agreement. The undersigned agree to follow the provisions of this Project Management Plan (PMP). Each activity will focus its efforts and influence to provide comprehensive, up-front planning and to meet the objectives of designing and constructing this project to fulfill sponsor needs and to meet quality, safety and reliability expectations, with minimum changes, within budget, and within schedule. Changes to scope, schedule, costs, strategy, or Project Delivery Team members included in this plan must be coordinated with and approved by the undersigned or their designated representatives, and fully documented.

Scott R. Bearden Deputy District Engineer Programs and Project Management	Date	Robert S. Juettner Sponsor	Date
Kenneth J. Eisses Engineering Member	Date		
David A. Martinson Project Manager	Date		

	Schedule Item/Task	Task Participant													
		SPR	H&H	PF	ER	SVY	SG	CE	SP	VE	QA	CO	RE	CT	PM	OC
	Initiate PED Phase			■											■	
	Update PMP														■	
	Negotiate DA	■													■	
220-F	Submit PED Pkg. to POD			■											■	■
250-F	PED Pkg. Approved														■	
290-F	Execute PED Agreement	■													■	
330-F	Chief's Report			■												
	Project Management														■	
350-F	Authorization															
	EDA Coordination			■	■											
	Bird Surveys				■											
	Geotechnical Investigations		■	■		■	■									
	Value Engineering	■	■	■	■		■	■		■		■			■	
	Design Refinement (VE)		■	■												
500-F	Draft Plans and Specs.		■		■		■		■							
	Current Working Estimate		■					■								
	Technical Review	■	■	■	■	■	■	■	■			■	■	■		■
580-F	BCOE Review		■						■		■	■			■	
590-F	Finalize Plans and Specs.		■		■				■					■		
	Negotiate PCA	■											■		■	
620-F	PCA Submitted for Approval														■	■
650-F	PCA Approved														■	
690-F	PCA Executed	■													■	
	RE Acquisition	■											■			
	Certification of RE	■											■			■
L10-S	Receive Construction Funds	■													■	
	CBD Announcement (Invt. to Bid)													■	■	
	Government Estimate							■								
950-F	Advertise Construction Contract													■		
	Bid Opening													■		
960-F	Award Contract	■												■		
	Construction Contract Mgnt.											■			■	
	LERRD Crediting	■											■			
	NTP													■		
	Initiate Construction															
990-F	Complete Construction															
	Quarterly PDT Meetings	■	■		■		■	■	■			■	■	■	■	■

TABLE 1. False Pass PED Budget

	FY01	FY02	FY03	TOTAL
Project Management	38,700	10,800	-	49,500
EN-CW	161,480	95,188	-	256,668
Plan Formulation	10,520	1,440		11,960
Hydraulics & Hydrology	105,567	86,148		191,715
Environmental Resources	45,393	7,600		52,993
EN-ES	209,092	26,596		235,688
Cost Estimating	13,645	7,380		21,025
Soils and Geology	147,320	3,216		150,536
Specifications	25,462	10,000		35,462
Survey	14,432	2,000		16,432
BRANCH	8,234	4,000		12,234
EN-TE	25,400			25,400
Value Engineering	25,400			
Construction	4,500	8,300		12,800
Contracting	2,000	10,000		12,000
Real Estate	3,080	14,960	-	18,040
10% contingency	20,368.00	7,969.60		28,337.60
	464,620	173,814	total	638,434
ESA coordination	50,000	60,000		110,000
				748,434
			round up	$750,000

	Schedule Item/Task	FY01												FY02												FY03												FY04											
		O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S
	Initiate PED Phase																																																	
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